



3732  
JfJ

UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/834,413 Confirmation No. 3617  
Applicant : Rubbert et al.  
Filed : April 13, 2001  
TC/A.U. : 3732  
Examiner :  
Title : Method And Workstation For Generating Virtual tooth Models From  
Three-Dimensional Tooth Data  
  
Docket No. : 01-101  
Customer No. : 000038600

Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

TRANSMITTAL LETTER

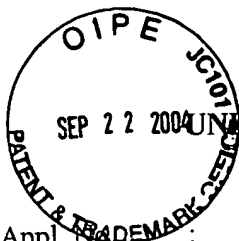
Sir:

1. We are transmitting herewith the attached:
  1. Supplemental Information Disclosure Statement
  2. Form 1449/PTO
  3. Cited References
  4. Return Postcard
2. With respect to additional fees:
  - A. ☒ No additional fee is required.
  - B. ☐ Attached is a check in the amount of \$ \_\_\_\_.
  - C. ☐ Charge the total additional fee to our Deposit Account No. 50-2844.
3. Please charge any additional fees or credit overpayment to Deposit Account No. 50-2844.
4. **CERTIFICATE OF MAILING UNDER 37 CFR § 1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450, on this 20<sup>th</sup> day of September, 2004.

By Jasvantrai C. Shah

Jasvantrai C. Shah  
Reg. No. 39,444

OraMetrix, Inc.  
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Richardson, Texas 75082



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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. §§ 1.97-1.98**

Sir:

Pursuant to 37 C.F.R. §§ 1.97-1.98, the Applicants wish to make the following references of record in the above-identified application. A copy of each reference is enclosed. The Information Disclosure Statement is in compliance with the duty of candor as set forth in 37 C.F.R. § 1.56. These references are also listed on the enclosed PTO Form 1449. It is requested that the documents be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing of the present application.

In the judgment of the undersigned, portions of the references may be material to the examination of the pending claims. No representation is intended as to the relative importance of any portion of the references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 USC sections 102 or 103.

**CITED REFERENCES**

**U.S. Patents**

| <b><u>Patent No.</u></b> | <b><u>Date Issued</u></b> | <b><u>Inventor</u></b> |
|--------------------------|---------------------------|------------------------|
| 6,466,892 B2             | 10/15/2002                | Fujii et al.           |
| 6,405,151 B2             | 06/11/2002                | Fujii et al.           |

**Foreign Patent Documents**

| <b><u>Published Patent Apps No.</u></b> | <b><u>Date Published</u></b> | <b><u>Inventor</u></b> |
|---|------------------------------|------------------------|
| JP2000-113193                           | 04/21/2000                   | Fujii et al.           |
| JPH9-21620                              | 01/21/1997                   | Hosokawa Katsumi       |

Respectfully submitted.

Date: 20 Sep. 2004

By:

Jasvantrai C. Shah

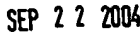
Jasvantrai C. Shah  
Reg. No. 39,444

**CERTIFICATE OF MAILING**

The undersigned hereby certifies that the foregoing **Supplemental Information Disclosure Statement Pursuant to 37 C.F.R. §§ 1.97-1.98** is being deposited in the United States Postal Service, as first class mail postage prepaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450, on this 20<sup>th</sup> day of September, 2004.

Jasvantrai C. Shah

Jasvantrai C. Shah  
Reg. No. 39,444



Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO

**(Use as many sheets as necessary)**

Sheet 1 of 1

|                        |                 |
|------------------------|-----------------|
| Application Number     | 09/834,413      |
| Filing Date            | Apr. 13, 2001   |
| First Named Inventor   | Ruedger Rubbert |
| Art Unit               | 3732            |
| Examiner Name          |                 |
| Attorney Docket Number | 01-101          |

[illegible]

| FOREIGN PATENT DOCUMENTS |                          |   |                     |  |   |                |
|--------------------------|--------------------------|---|---------------------|--|---|----------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
|                          |                          | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) | MM-DD-YYYY          |  |   |                |
|                          |                          | JP2000113193  | 04/21/2000          | Fujii et al.                                       |   | ✓              |
|                          |                          | JPH9-21620  | 01/21/1997          | Hosokawa Katsum                                    |   | ✓              |
|                          |                          |   |                     |  |   |                |
|                          |                          |   |                     |  |   |                |
|                          |                          |   |                     |  |   |                |
|                          |                          |   |                     |  |   |                |

**Examiner  
Signature**

|            |  |
|------------|--|
| Date       |  |
| Considered |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**